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## S T A N D A R D S

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**Interface Practices Subcommittee**

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**SCTE STANDARD**

**SCTE 199 2019**

**Interface Specifications for an RF-Modulated Small  
Form Factor Pluggable Optical Receiver Module  
(SFP-RF-USRx)**

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**1.0 REVISION HISTORY**

<b>Revision</b>	<b>Date</b>	<b>Description</b>
R01	9/26//2012	Initial publication
R02	10/8/2012	Updates to the Electrical Interface section from F2F
R03	10/26/2012	Updates the Management Interface and Electrical Interface
R04	10/30/2012	Updates from the meeting, plus addition of appendix describing losses
R05	11/5/12	Made the updates to the following: <ul style="list-style-type: none"> <li>• Section 7.2.2, Number 2</li> <li>• Table 2, bytes 84 and 85</li> <li>• Table 3, bytes 84 and 85</li> <li>• Table 4 updates</li> <li>• Section 8.3.2</li> </ul>
R06	11/7/12	Made updates to the following: <ul style="list-style-type: none"> <li>• Table 2</li> <li>• Table 3</li> <li>• Table 4</li> <li>• Section 8.3</li> <li>• Section 9.3</li> <li>• Appendix A</li> </ul>
R07	11/13/12	Made the following updates: <ul style="list-style-type: none"> <li>• Removed redundant fields from Table 70h</li> <li>• Updated descriptions of MOD_DESEL; assert and de-assert were backwards</li> </ul>
R08	11/19/12	Made updates to the following: <ul style="list-style-type: none"> <li>• Tables 2 and 3</li> <li>• Added Table 4</li> <li>• Added Table 5</li> <li>• Updates to Table 7</li> <li>• Updated the formula in section 8.3.2</li> <li>• Added Table 10</li> </ul>
R09	11/20/12	Reviewed and accepted changes during the 11/20/12 meeting. General clean up and definitions updates. Open issues still tracked/commented.
R10	11/28/12	Reviewed and accepted changes during the 11/28/12 meeting. This is the proposed final draft.
R11	12/4/12	Additional changes made during final review; changes are tracked
R12	12/11/12	Additional changes made during final review; changes are tracked
R13	12/13/12	Reverted the final sentence of the MOD_DESEL description back to what it was in the MSA.

Revision	Date	Description
R14	12/18/12	SCTE candidate

## 2.0 SCOPE

This document is identical to SCTE 199 2013 except for informative components which may have been updated such as the title page, NOTICE text, headers and footers. No normative changes have been made to this document.

The integration of optical interfaces into headend equipment offers cost and power savings to MSOs. However, implementing optical interfaces in these devices presents significant technical challenges, since the lack of standardization may result in too many combinations of interfaces to support effectively in a headend device.

Because of this challenge, it is proposed to create a standard that specifies the interfaces between an upstream laser receiver module and its host. The module is based on [XFP MSA], but is in an SFP form factor and will receive RF-modulated optical signals. This optical receiver module could be deployed in headend equipment, such as the Converged Cable Access Platform (CCAP) or HFC optoelectronics products, providing a standardized, interoperable solution. The optical receiver module will function as an upstream receiver for the output of return optical transmitters inside fiber optic nodes.

This standard focuses on the communication, electrical, and mechanical interfaces for the optical receiver module. Requirements held within this standard apply both to the receiver module and its host.

## 3.0 NORMATIVE REFERENCES

The following documents contain provisions, which, through reference in this text, constitute provisions of this specification. At the time of subcommittee approval, the editions indicated were valid. All standards and specifications are subject to revision, and parties to agreement based on this specification are encouraged to investigate the possibility of applying the most recent editions of the documents listed below.

Reference	Description
[IEC 61755-3-1]	IEC 61755-3-1:2006, ed. 1.0, July 1, 2006, Fibre optic connector optical interfaces – Part 3-1: Optical interface, 2,5 mm and 1,25 mm diameter cylindrical full zirconia PC ferrule, single mode fibre. IEC Sub-committee 86B
[IEC 61755-3-2]	IEC 61755-3-2, ed. 1.0, July 12, 2006, Fibre optic connector optical interfaces - Part 3-2: Optical interface, 2,5 mm and 1,25 mm diameter cylindrical full zirconia ferrules for 8 degrees angled-PC single mode fibres, IEC Sub-committee 86B



[INF-8074]	INF-8074i, Revision 1.0, May 12, 2001, Specification for SFP (Small Formfactor Pluggable) Transceiver, SFF Committee, <a href="http://www.sffcommittee.com/">http://www.sffcommittee.com/</a>
[FINISH]	IEC 61300-3-35, ed. 1.0, November 20, 2009, Fibre optic interconnecting devices and passive components - Basic test and measurement procedures - Part 3-35: Examinations and measurements - Fibre optic connector endface visual and automated inspection, IEC Sub-committee 86B
[SFF-8083]	SFF-8083, Revision 2.5, January 19, 2010, 0.8mm Card Edge Connector for 8/10 Gb/s Applications, SFF Committee, <a href="http://www.sffcommittee.com/">http://www.sffcommittee.com/</a>
[SFF-8432]	SFF-8432, Revision 5.0, July 16, 2007, Improved Pluggable Formfactor, SFF Committee, <a href="http://www.sffcommittee.com/">http://www.sffcommittee.com/</a>
[SFF-8433]	SFF-8433, Revision 0.7, June 5, 2009, Improved Pluggable Formfactor (IPF) for SFP+ Ganged Cages, SFF Committee, <a href="http://www.sffcommittee.com/">http://www.sffcommittee.com/</a>
[XFP MSA]	INF-8077i, Revision 4.5, August 31, 2005, 10 Gigabit Small Form Factor Pluggable Module, SFF Committee, <a href="http://www.sffcommittee.com/">http://www.sffcommittee.com/</a>

#### 4.0 INFORMATIVE REFERENCES

The following documents may provide valuable information to the reader but are not required when complying with this specification.

Reference	Description
[UM10204]	UM10204, Version 4.0, February 13 2012, The I2C-Bus specification and user manual, NXP Semiconductors

#### 5.0 COMPLIANCE NOTATION

“SHALL”	This word or the adjective “REQUIRED” means that the item is an absolute requirement of this specification.
“SHALL NOT”	This phrase means that the item is an absolute prohibition of this specification.
“SHOULD”	This word or the adjective “RECOMMENDED” means that there may exist valid reasons in particular circumstances to ignore this item, but the full implications should be understood and the case carefully weighted before choosing a different course.
“SHOULD NOT”	This phrase means that there may exist valid reasons in particular circumstances when the listed behavior is acceptable or even useful, but the full implications should be understood and the case carefully weighed before implementing any behavior described with this label.
“MAY”	This word or the adjective “OPTIONAL” means that this item is truly optional. One vendor may choose to include the item because a particular marketplace requires it or because it enhances the

	product, for example; another vendor may omit the same item.
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## 6.0 DEFINITIONS, ABBREVIATIONS, AND ACRONYMS

Term	Definition
AGC	Automatic Gain Control
APC	Angled Physical Contact
APD	Avalanche Photodiode
CCAP	Converged Cable Access Platform
CDR	Clock Data Recovery
CLEI	Common Language Equipment Identification
CMTS	Cable Modem Termination System
DC	Direct Current <i>or</i> Directional Coupler
DSA	Digital Step Attenuator
EEPROM	Electrically Erasable Programmable Read-Only Memory
EMI	Electromagnetic Interference
HFC	Hybrid Fiber-Coax
host	The device into which the optical module is integrated.
I/O	Input/Output
I <sup>2</sup> C	Inter-Integrated Circuit, a 2-wire communication interface
LOS	Loss of Signal
NVRAM	Non-Volatile Random-Access Memory
OMI	Optical Modulation Index
PD	Photodiode
R/W	Read/Write
RF	Radio Frequency
RFoG	RF over Glass
RX	Receive
SCL	Serial Clock
SDA	Serial Data
SFP	Small Formfactor Pluggable
TEC	Thermo-Electric Cooler
TX	Transmit
UPC	Ultra Physical Contact
USRx	Upstream Receiver
XFP	10 Gigabit Small Form Factor Pluggable; a standard for an optical transceiver. See [XFP MSA].

## 7.0 MANAGEMENT INTERFACE

2-Wire Communications (I<sup>2</sup>C) for the SFP-RF-USRx module are based upon [XFP MSA]. I<sup>2</sup>C is an implementation of the NXP (formerly Philips) I<sup>2</sup>C [UM10204] specification with mapped memory address locations (also known as “well-known addresses”) for diagnostics and operations. The SFF Committee specifies the I<sup>2</sup>C common memory mapping in [XFP MSA] for SFP and XFP, as well as additional physical layer requirements. This specification uses the same well-known memory map locations (addresses)

for functions that are the same or substantially similar. Because the SFP-RF-USRx is a dual receiver (and not a transceiver), there is a need for additional and different functions that the SFF specifications do not require.

The SFP-RF-USRx module shall implement the I<sup>2</sup>C requirements specified in [XFP MSA], except where specified differently in the following sections.

### 7.1 Summary of 2-Wire Communications Requirements

In [XFP MSA] 2-wire communication requirements are spread across several sections, including section 4.2 and Table 26, section 2.6 and Table 3. The following bullets summarize these requirements; refer to [XFP MSA] for complete details.

- I<sup>2</sup>C communications may not be available for up to 300 ms after power up or reset
- The MOD\_DESEL pin functions as defined in section 4.2 of [XFP MSA]. Note, if the I<sup>2</sup>C interface bus is not shared, the MOD\_DESEL pin may be pulled *low* permanently.
- There must be at least 20  $\mu$ s between the STOP signal and the next START signal for a particular module.
- The clock rate can be as high as 400 kHz (I<sup>2</sup>C fast mode).
- Up to two START signals per command are allowed (the second START is also known as a RESTART signal).
- Only one STOP signal is allowed per command.
- Multiple master systems are not supported.
- Host boards shall accommodate SFP-RF-USRx modules that hold the SCL line *low* (clock stretching) for up to a maximum of 500  $\mu$ s during an I<sup>2</sup>C read or write operation.
- After a write from the host to the module, the module may not respond to further I<sup>2</sup>C commands for up to 40 ms (typically this would be for writing non-volatile values). The phrase “not respond” means that the device address given immediately after the START signal from the host is not acknowledged by the module.
- I<sup>2</sup>C packet error checking protocol is optional as defined in the [XFP MSA].

## 7.2 Theory of Operation

Using Chapter 5: Management Interface of [XFP MSA] as reference, the following sections define the operation of the SFP-RF-USRx module I<sup>2</sup>C interface that is used for serial ID, digital diagnostics and other control/monitoring functions.

### 7.2.1 SFP-RF-USRx Module Boot Up Sequence

When a SFP-RF-USRx module is plugged in, the host needs to perform a number of initialization steps in a particular order with a particular timing. Some of these involve the SFP-RF-USRx module pins, and some involve I<sup>2</sup>C communications. As most of these are documented in the [XFP MSA] or are implied by stated dependencies, a reference to the location(s) in that document will accompany each step in the following sequence descriptions.

Before the boot up sequence can be discussed, communications and host requirements need to be understood.

#### 7.2.1.1 I<sup>2</sup>C Communications Requirements

Once I<sup>2</sup>C is operational, the host shall adhere to the following timing and pin requirements (see [XFP MSA] section 4.2, Table 26):

- The host shall pull MOD\_DESEL *low* for a minimum of 2 ms before issuing the START signal (Host\_select\_setup).
- While MOD\_DESEL is *low*, the host shall wait at least 20 μs after the previous STOP signal before issuing a new START signal ( $t_{BUF}$ ).

If the SFP-RF-USRx module is not sharing the I<sup>2</sup>C interface bus with other SFP-RF-USRx modules, the MOD\_DESEL pin may be left *low* indefinitely. Otherwise, it shall be pulled *high* for at least 2 ms before another command is executed on the shared bus (presumably to a different SFP-RF-USRx module).

Write operations may cause the SFP-RF-USRx module to not respond to further I<sup>2</sup>C signals or messages for up to 40 ms ( $t_{WR}$  in section 4.3, Table 27, and section 4.5.7 of [XFP MSA]). The specification does not restrict this to EEPROM writes. This applies for writes from one to four bytes (the limit for a write is four bytes).

The SFP-RF-USRx module may perform a clock stretch of up to 500  $\mu$ s during read or write operations ( $T_{\text{clock\_hold}}$ , [XFP MSA] section 4.3, Table 27). This is distinct from unresponsiveness after a write operation ( $t_{\text{WR}}$ ).

#### 7.2.1.2 Pin State Prior to SFP-RF-USRx Module Boot Up

Before a SFP-RF-USRx module can begin boot up, the host shall meet the following pin requirements.

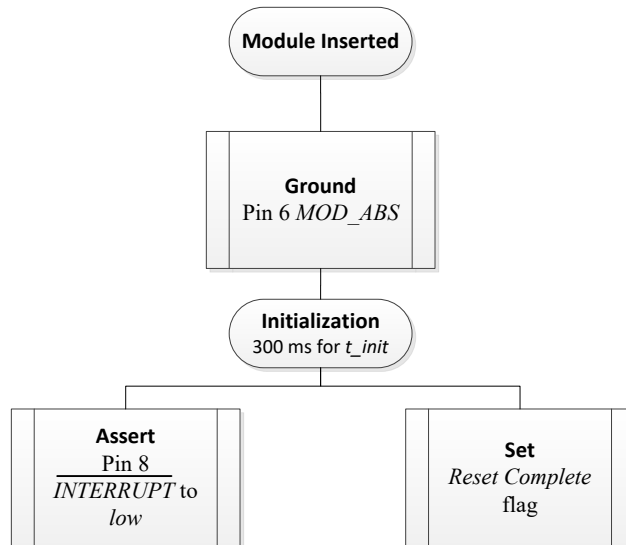
The voltages listed for pins 15 (VCC3\_DIGITAL) and 16 (VCC5\_ANALOG) in Table 9 shall be available.

The value of pin 7 (P\_DOWN/RST) should be set to *low* to allow the SFP-RF-USRx module to run in full power (power level II or III), although a setting of *high* may be used to limit the SFP-RF-USRx module to low power consumption ( $\leq 1.0$  watts). Refer to section 2.4.7.3, Module Behavior During Power Down and Reset of [XFP MSA] for further details.

Pin 8 ( $\overline{\text{INTERRUPT}}$ ) shall be pulled *high* by the host. If an unmasked error, alarm or warning condition is encountered during operation, the SFP-RF-USRx module shall pull this value *low*.

#### 7.2.1.3 Initial SFP-RF-USRx Module Boot Sequence

Once the SFP-RF-USRx module is inserted, it goes through its initialization sequence, as shown in the following flow chart.



**Figure 1 – SFP-RF-USRx Module Boot Sequence**

This series of actions is described here.

1. The SFP-RF-USRx module shall indicate its presence to the host by grounding pin 6 (MOD\_ABS) upon insertion.
2. After 300 ms, SFP-RF-USRx module initialization (aka *Reset Complete*, described in Table 39 of [XFP MSA]) shall be finished ( $t_{init}$ , [XFP MSA] section 2.6, Table 3).
3. The SFP-RF-USRx module shall assert pin 8 (INTERRUPT) to *low* and set corresponding *Reset Complete* flag ([XFP MSA] section 2.4.7.3, Table 39). *Reset Complete* can be detected by the host via an INTERRUPT signal (pin 8 pulled *low*) with a corresponding *Reset Complete* flag being set.

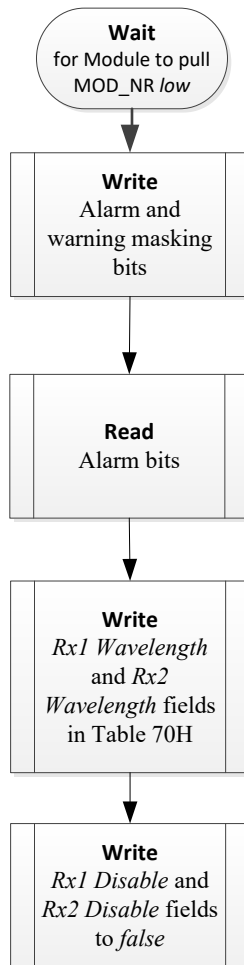
Note that until the boot sequence is complete, signals on the RF output pins may not be valid and the SFP-RF-USRx module shall not assert the INTERRUPT pin spuriously, as detailed in section 2.4.7.3 of [XFP MSA].

The *Reset Complete* flag also does not imply that registers are valid; the module sets the *Data\_Not\_Ready* flag (from

Table 42 in [XFP MSA]) to *low* to indicate that register contents are valid and can be read. When the module is ready to function, the MOD\_NR pin is pulled *low*.

7.2.2 Host Initialization of the SFP-RF-USRx Module

After the module has pulled MOD\_NR *low*, the host shall initialize the module, including interrupt masks, control, and miscellaneous parameters, as detailed in the following flow chart.



**Figure 2 – Host SFP-RF-USRx Module Initialization**

This series of actions is described here.

1. The host can set alarm and warning masks for any events of interest. These can be found in Table 40

in section 5.11.1 of [XFP MSA] with the exception of those alarms and warnings listed in section 7.4.2, Lower Memory Map (Common Table). By default none of these is masked. In particular, the *Reset Complete* status bit (and its corresponding INTERRUPT) is not masked. At a minimum, the host should set the masking bits for:

- TX\_NR
  - Laser Fault
  - TX CDR Loss of Lock
  - TEC Fault
  - Vee5 High
  - Vee5 Low
  - Vcc2 High
  - Vcc2 Low
  - APD Supply (if it is not supported)
  - Wavelength Unlocked
  - TX Bias Low
  - TX Power Low
2. The host can clear any existing latched alarms by reading those alarm bits (the alarm bits are listed in Table 39 in section 5.11.1 of [XFP MSA]). As these flags are latched, their initial presence does not necessarily indicate a continuing fault. For example, the presence of the *Reset Complete* flag upon insertion of the module is not a fault. Among the existing latched alarms that can be reasonably expected are:
- Reset Complete
  - Rx1(2) CDR Loss of Lock (if used)



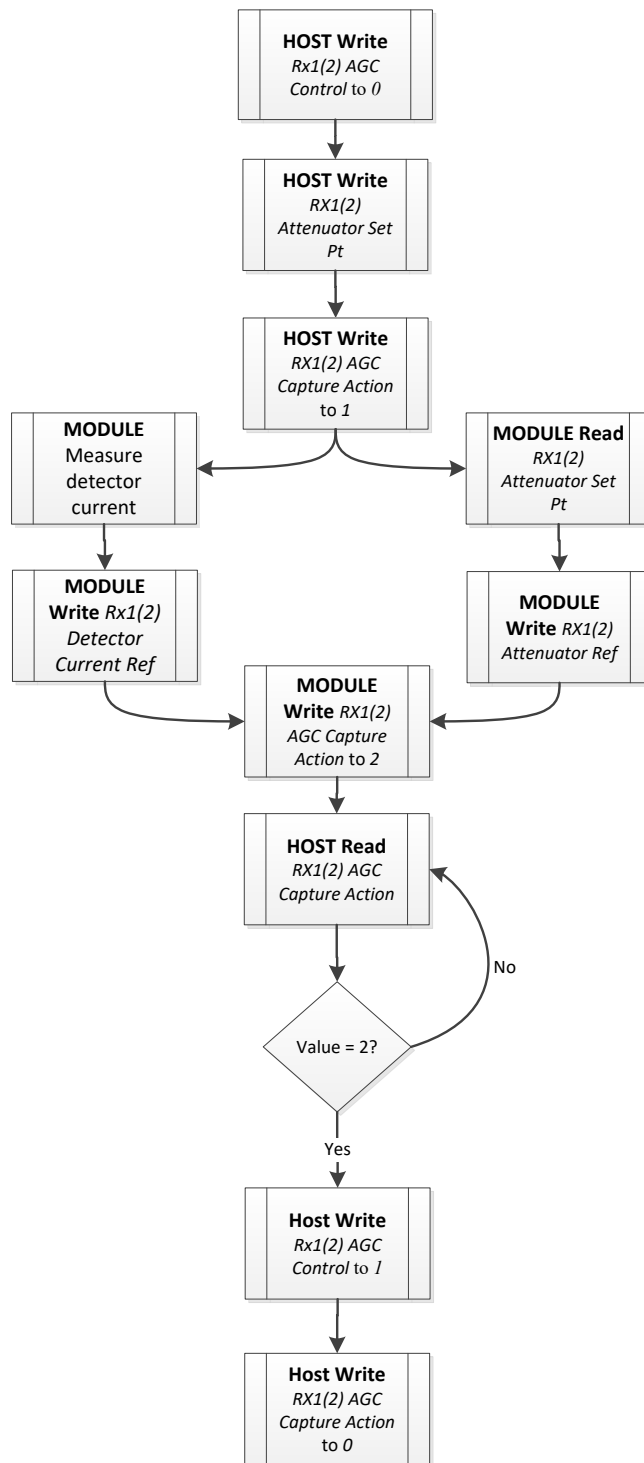
3. In Table 70h in upper memory, the host should update the *Rx1 Wavelength* and *Rx2 Wavelength* fields. These are volatile fields.
4. In the lower memory table, the host shall set the *Rx1 Disable* and *Rx2 Disable* flags to *false*.

Table 70h is a new table described in section 7.4.3.3 of this document.

### 7.2.3 Automatic Gain Control (AGC) in the SFP-RF-USRx Module

When Automatic Gain Control (AGC) is enabled, the US-RF-USRx module will monitor the receive power and automatically adjust the module attenuator settings to keep the RF output level constant. The US-RF-USRx should implement AGC. When AGC is supported, the module and the host exchange information to enable AGC and set baseline levels. AGC should not be enabled in RFoG applications.

The control flow for enabling AGC is shown in Figure 3.



**Figure 3 – AGC Control Sequence**

This series of actions by the host and module is described here.  
 Note that in the process flow diagram and the following

description, “*Rx1(2)*” means that the value is updated for both Rx1 and Rx2.

1. If not already set to 0 (zero), the host will set *Rx1(2) AGC Control* to 0. This disables AGC.
2. The operator will manually adjust the attenuator settings to achieve the desired RF output level. The attenuator level is set by the host writing a value to the *Rx1(2) Attenuator Set Pt* field.
3. When adjustments are complete, the host will set the value of the *AGC Capture Action* field to 1. This informs the module that it should capture the incoming current level and the attenuator setting.
4. The module will measure incoming current and write this value to the *Rx1(2) Detector Current Ref* field. It will also write the value from the *Rx1(2) Attenuator Set Pt* field to the *Rx1(2) Attenuator Ref* field.
5. The module updates the value of the *AGC Capture Action* field to 2. This value informs the host that the capture is complete.
6. The host reads the value of the *AGC Capture Action* field; when set to 2, the host enables AGC by setting *AGC Control* to 1.
7. The host then sets the value of *AGC Capture Action* field back to 0.

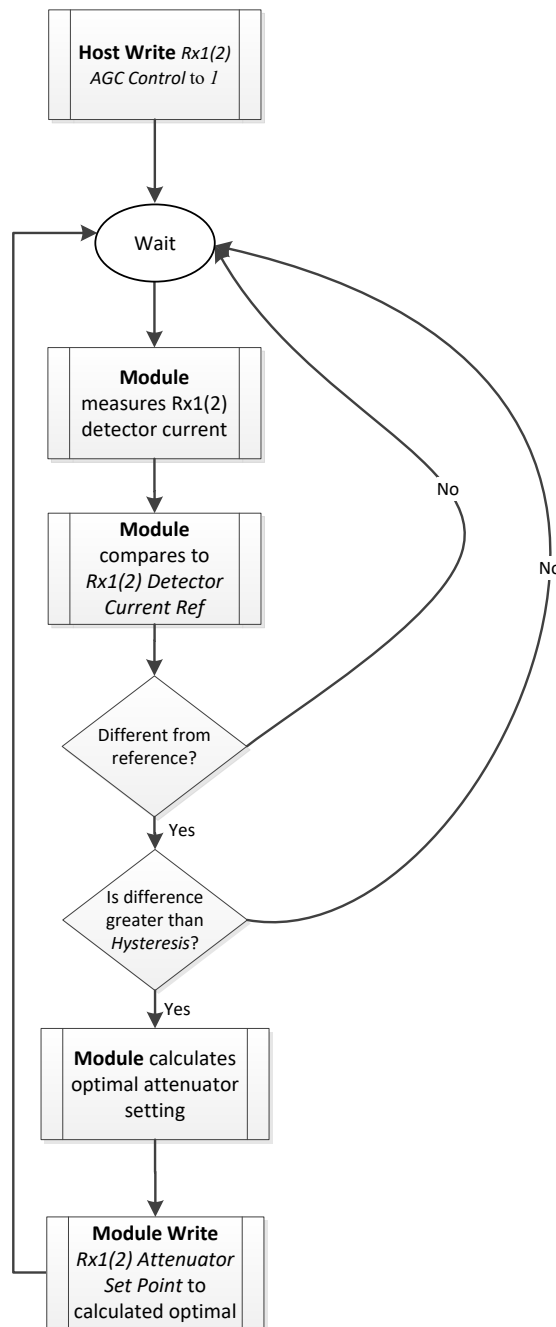
#### 7.2.3.1 AGC Functions in the SFP-RF-USRx Module

When Optical AGC is enabled, the SFP-RF-USRx monitors the photodiode (PD) current, compares it to the stored reference value (*Rx1(2) Detector Current Ref*), and adjusts the internal attenuator to attempt to keep the composite RF output level constant. For every 1 dB change in PD current, the module shall adjust the attenuator by 2 dB. For example, if the DC current decreases by 1.5 dB, then the attenuation shall be decreased by 3 dB.

Note that the RF output level can vary even if the optical input/PD current does not change due to changes in the optical modulation index (OMI). These variations are not mitigated with AGC.

Considerations should be taken in the design of the module and AGC control logic to ensure that changes in the attenuator setting do not induce bit errors in the return signals. These could include slew rate of the attenuator, attenuator step size, hysteresis value, and the AGC control loop time.

The logic flow for AGC control in the SFP-RF-USRx module is shown in Figure 4.



**Figure 4 – AGC Functional Flow**

This series of actions by the host and module is described here. This series is completed when the operator enables AGC and on a regular basis once AGC is enabled.

1. This flow is initiated by the host setting the *AGC Control* field to 1 (enabled).
2. The module waits before moving on to the next step of the flow. Care must be taken not to complete this logic flow too often, as this could cause data errors. Conversely, completing this flow too infrequently could result in errors due to poor attenuation settings.
3. The module measures current at the Rx1(2) detectors. This is the optical input power.
4. The module compares these values to the Rx1(2) values captured in the *Rx1(2) Detector Current Ref* fields. These measurements were captured while AGC was being initiated.
5. If the difference between the measured current and the reference current is less than or equal to the value set in the *Hysteresis* field, the module goes back to step 2 and waits to make these measurements and comparisons again.
6. If the difference between the measured current and the reference current is greater than the value set in the *Hysteresis* field, the module calculates the appropriate attenuator setting: for every 1 dB Optical power change, there will be 2 dB RF power change; this is calculated using the *Attenuator Ref* and *Rx1(2) Detector Current Ref*.
7. The module updates the *Rx1(2) Attenuator Set Point* to match the calculated attenuator setting. The module then goes back to step 2 and waits to make these measurements and comparisons again.

#### 7.2.4 SFP-RF-USRx Module Not Ready

When an SFP-RF-USRx module is removed or a fatal condition is encountered, the MOD\_NR pin (pin 2) is pulled *high* and stays high until the module is ready for operation.

#### 7.2.5 Interrupt Conditions from the SFP-RF-USRx Module

The following section is based on section 5.11 of [XFP MSA]; modifications have been made for this specification.

The SFP-RF-USRx module shall implement a real-time hardware INTERRUPT pin (pin 8) to alert the host system to any condition outside normal operating conditions. Interrupt system logic shall be triggered and latched by a set of flags. These include:

- Alarm and warning flags as described in section 5.6, Basic Monitoring Functions of [XFP MSA]. These correspond to monitored quantities going outside factory programmed threshold values.
- Flags corresponding to basic SFP-RF-USRx module status conditions including:
  - RX1(2)\_NR: Any condition leading to invalid data on the Rx path (one for each receiver)
  - RX1(2)\_LOS: Rx loss of signal (one for each receiver)
  - AGC alarms and warnings (for analog receivers)
  - MOD\_NR: Module Not Ready (mirroring hardware output pin)
  - Reset Complete: Indicates completion of the SFP-RF-USRx Module Reset (as initiated by power up or P\_DOWN/RST pin)

The module shall not generate alarms or events defined in [XFP MSA] for any functions that it does not support (for example, transmitter functions).

This specification uses byte 85, bit 0 for a vendor-specific alarm.

Nothing in the SFP-RF-USRx Table 70h is designed to produce an interrupt.

Existence of any of these conditions shall lead to a latched flag. These flags are located in bytes 80 – 87 and are detailed in Table 39 of [XFP MSA]. The presence of any *1* value in bytes 80 – 87 without a corresponding mask bit set to *1* will assert the hardware INTERRUPT output pin (active low). When the INTERRUPT pin alerts the host system to a latched flag condition, the host may query the latched flag bits in bytes 80 – 87. The SFP-RF-USRx module shall clear the latched flags upon the read of the corresponding latched flag bit.

Masking bits shall be volatile and startup with all unmasked (masking bits 0).

Hardware  $\overline{\text{INTERRUPT}}$  Pin = Logical NOR of all (Latched Flag Bit AND NOT Masking Bit)

The mask bits can be used to prevent continued interruption from ongoing conditions. This specification uses byte 93, bit 0 for the vendor-specific alarm mask.

### 7.3 SFP-RF-USRx Module Memory Map

The structure of the SFP-RF-USRx module memory map is shown in Figure 5. The SFP-RF-USRx module shall implement the memory map specified in section 5 of [XFP MSA], with the exceptions specified here.

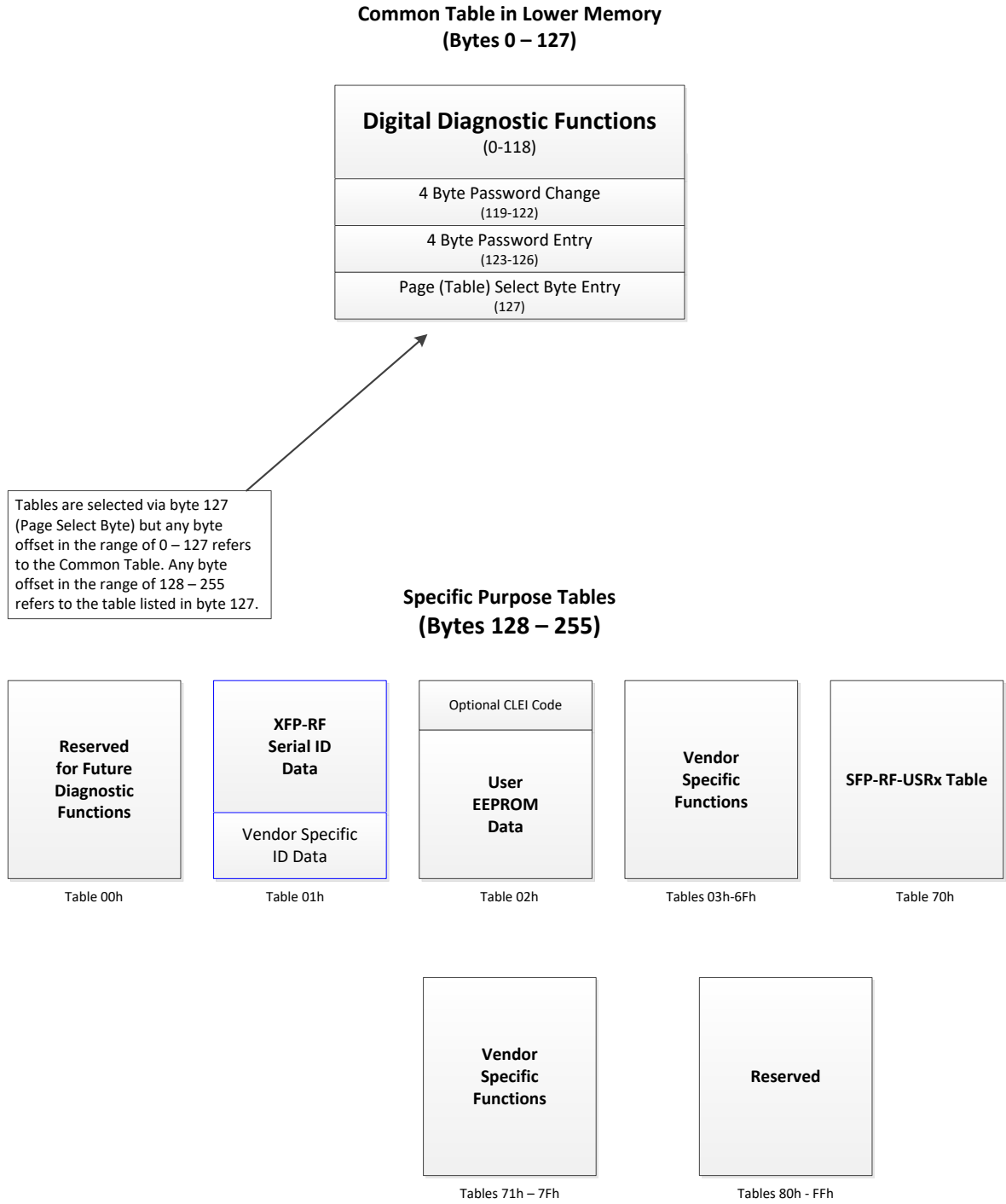
The normal 256 byte I<sup>2</sup>C address is divided into lower and upper blocks of 128 bytes. The lower block of 128 bytes is always directly available and is intended to be used for diagnostic and control functions that are accessed often. This is the common table; it is accessed if the I<sup>2</sup>C address is less than 128 without regard to the selected table.

Multiple blocks of memory are available in the upper 128 bytes of the address space. These are individually addressed via a table select byte located in the lower address space (at offset 127). The upper address tables are intended to contain information that is accessed less frequently, such as serial ID, user writable EEPROM, etc. The password must be entered before any upper memory can be accessed. The password entry stays in effect until the module is power cycled.

The I<sup>2</sup>C address of the SFP-RF-USRx module shall be 1010000Xb (A0h). In order to support access to multiple SFP-RF-USRx modules on the same I<sup>2</sup>C serial bus, the SFP-RF-USRx pin-out includes a MOD\_DESEL or module deselect pin. This pin shall be held *low* by the host to address a particular SFP-RF-USRx module.

Figure 5 summarizes the memory layout. The major difference from [XFP MSA] is that a new table, 70h, is defined near the end of the range of the vendor-specific tables. Some fields are not supported, as detailed in section 7.4.2.





**Figure 5 – SFP-RF-USRx Module Memory Layout**

## 7.4 Specific Memory Maps

### 7.4.1 Volatile and Non-Volatile Field Characteristics

#### 7.4.1.1 Non-Volatile Field Resilience

The SFP-RF-USRx module shall meet the [XFP MSA] specification requirement for EEPROM write cycles (at least 50,000 write cycles). If a host were to write to such a field once per minute, then the EEPROM would expire in roughly 35 days. Writing once per hour gives a lifetime of about 5.7 years.

#### 7.4.1.2 Access Rate for Volatile and Read-Only Fields

While there is no maximum rate for these fields, there is an I<sup>2</sup>C limitation. Therefore, the host should not expect “tight loop” level of control.

### 7.4.2 Lower Memory Map (Common Table)

The lower 128 bytes of the I<sup>2</sup>C serial bus address space is used to access a variety of measurements and diagnostic functions, and to implement a set of control functions. The contents of the lower memory map shall conform to the [XFP MSA] I<sup>2</sup>C specification unless specified otherwise below.

- The following values are not used by the SFP-RF-USRx module. They are not repurposed. The SFP-RF-USRx module shall set all of these values to 0. The host shall ignore all of these bits. These include:
  - Line-side Loopback Control
  - XFI Loopback Control
  - Acceptable BER for FEC system
  - Actual BER for FEC system
  - FEC Amplitude Adj
  - FEC Phase Adj
  - Data Rate Control
  - Signal Conditioner Control

- The value of the Identifier field shall be 0Dh (SFP-RF-USRx) rather than 06h (XFP).
- In bytes 86, 87, 94, and 95, the VCC2 values shall correspond to VCC5\_ANALOG and the VCC3 values shall correspond to VCC3\_DIGITAL.
- The bytes from the Lower Memory Map of [XFP MSA] listed in Table 1 shall be re-purposed for receiver alarm and warning thresholds. The default value for each of these bytes is vendor-specific.

**Table 1 – Receiver Alarm and Warning Thresholds**

<u>Address</u>	<u>Host Read/Write</u>	<u>Bytes</u>	<u>Name of Field</u>	<u>Remarks</u>
26	R/W	2	Rx1 Opt Power High Alarm	Range (0.0000 to 6.5535). Units: mW. Rx1 upper threshold limit to trigger alarm on optical input power.
28	R/W	2	Rx1 Opt Power Low Alarm	Range (0.0000 to 6.5535). Units: mW. Rx1 lower threshold limit to trigger alarm on optical input power.
30	R/W	2	Rx1 Opt Power High Warning	Range (0.0000 to 6.5535). Units: mW. Rx1 upper threshold limit to trigger warning on optical input power.
32	R/W	2	Rx1 Opt Power Low Warning	Range (0.0000 to 6.5535). Units: mW. Rx1 lower threshold limit to trigger warning on optical input power.
34	R/W	2	Rx2 Opt Power High Alarm	Range (0.0000 to 6.5535). Units: mW. Rx2 upper threshold limit to trigger alarm on optical input power.
36	R/W	2	Rx2 Opt Power Low Alarm	Range (0.0000 to 6.5535). Units: mW. Rx2 lower threshold limit to trigger alarm on optical input power.
38	R/W	2	Rx2 Opt Power High Warning	Range (0.0000 to 6.5535). Units: mW. Rx2 upper threshold limit to trigger warning on optical input power.
40	R/W	2	Rx2 Opt Power Low Warning	Range (0.0000 to 6.5535). Units: mW. Rx2 lower threshold limit to trigger warning on optical input power.

- The following bytes and bits from Table 39 of [XFP MSA] shall be re-purposed for latched alarms and warnings, as listed in Table 2:

**Table 2 – Latched Alarms and Warnings**

Byte	Bit	Name	Description
80	3	L- RX1 AGC Out-of-Range Alarm	Latched Rx1 AGC out-of-range alarm
80	2	L- RX2 AGC Out-of-Range Alarm	Latched Rx2 AGC out-of-range alarm
80	1	L- RX1 Opt Power High Alarm	Latched Rx1 high optical power alarm
80	0	L- RX1 Opt Power Low Alarm	Latched Rx1 low optical power alarm
81	7	L- RX1 Opt Power High Warning	Latched Rx1 high optical power warning
81	6	L- RX1 Opt Power Low Warning	Latched Rx1 low optical power warning
82	3	L- RX1 AGC Range Warning	Latched Rx1 AGC range warning
82	2	L- RX2 AGC Range Warning	Latched Rx2 AGC range warning
82	1	L- RX2 Opt Power High Alarm	Latched Rx2 high optical power alarm
82	0	L- RX2 Opt Power Low Alarm	Latched Rx2 low optical power alarm
83	7	L- RX2 Opt Power High Warning	Latched Rx2 high optical power warning
83	6	L- RX2 Opt Power Low Warning	Latched Rx2 low optical power warning
84	7	L- RX2_NR	Latched RX2_NR status
84	6	L- RX2_LOS	Latched RX2_LOS
84	5	L- RX2 CDR not Locked	Latched RX2 CDR loss of lock
84	4	L- RX1_NR	Latched RX1_NR status
84	3	L- RX1_LOS	Latched RX1_LOS
84	2	L- RX1 CDR not Locked	Latched RX1 CDR loss of lock
84	1	L- MOD_NR	Latched mirror of MOD_NR pin
84	0	L- Reset Complete	Latched Reset Complete flag
85	7	L- RX1 APD Supply Fault	Latched Rx1 APD supply fault
85	6	L- RX2 APD Supply Fault	Latched Rx2 APD supply fault
85	5	L- Wavelength Unlocked	Not used, set to 0

- The following bytes and bits from Table 40 of [XFP MSA] shall be re-purposed as masking bits for alarms and warnings, as listed in Table 3:

**Table 3 – Masking Bits for Alarms and Warnings**

Byte	Bit	Name	Description
88	3	M- RX1 AGC Out-of-Range Alarm	Masking bit Rx1 AGC out-of-range alarm
88	2	M- RX2 AGC Out-of-Range Alarm	Masking bit Rx2 AGC out-of-range alarm
88	1	M- RX1 Opt Power High Alarm	Masking bit Rx1 high optical power alarm
88	0	M- RX1 Opt Power Low Alarm	Masking bit Rx1 low optical power alarm
89	7	M- RX1 Opt Power High Warning	Masking bit Rx1 high optical power warning
89	6	M- RX1 Opt Power Low Warning	Masking bit Rx1 low optical power warning
90	3	M- RX1 AGC Range Warning	Masking bit Rx1 AGC range warning

Byte	Bit	Name	Description
90	2	M- RX2 AGC Range Warning	Masking bit Rx2 AGC range warning
90	1	M- RX2 Opt Power High Alarm	Masking bit Rx2 high optical power alarm
90	0	M- RX2 Opt Power Low Alarm	Masking bit Rx2 low optical power alarm
91	7	M- RX2 Opt Power High Warning	Masking bit Rx2 high optical power warning
91	6	M- RX2 Opt Power Low Warning	Masking bit Rx2 low optical power warning
92	7	M- RX2_NR	Masking bit RX2_NR Status
92	6	M- RX2_LOS	Masking bit RX2_LOS
92	5	M- RX2 CDR not Locked	Masking bit Rx2 CDR loss of lock
92	4	M- RX1_NR	Masking bit RX1_NR status
92	3	M- RX1_LOS	Masking bit RX1_LOS
92	2	M- RX1 CDR not Locked	Masking bit Rx1 CDR loss of lock
92	1	M- MOD_NR	Masking bit MOD_NR
92	0	M- Reset Complete	Masking bit Reset Complete flag
93	7	M- RX1 APD Supply Fault	Masking bit Rx1 APD supply fault
93	6	M- RX2 APD Supply Fault	Masking bit Rx2 APD supply fault
93	5	M- Wavelength Unlocked	Not used, set to 0

- The following bytes from Table 41 of [XFP MSA] shall be re-purposed for receiver detector current and optical input power, as listed in Table 4:

**Table 4 – A/D Readout Values**

Bytes	Name	Description
98-99	A/D readout: Rx1 Detector Current	Range (0.0 to 6553.5). Units: $\mu$ A. Rx1 measured value of detector DC current level. This field is volatile.
100-101	A/D readout: Rx2 Detector Current	Range (0.0 to 6553.5). Units: $\mu$ A. Rx2 measured value of detector DC current level. This field is volatile.
102-103	A/D readout: Rx1 Opt Power	Range (0.0000 to 6.5535). Units: mW. Rx1 measured received optical power. Calculated from detector DC current and detector responsivity (which is a function of wavelength). See Note 1. This field is volatile.
104-105	A/D readout: Rx2 Opt Power	Range (0.0000 to 6.5535). Units: mW. Rx2 measured received optical power. Calculated from detector DC current and detector responsivity (which is a function of wavelength). See Note 1. This field is volatile.

**Note 1:** This value represents the average received power. It is presented as a 16-bit unsigned integer, with the power defined as the full 16 bit value (0 – 65535) with LSB equal to 0.1 μW, yielding a total measurement range of 0.0000 to 6.5535 mW. (For reference, 0.0001 to 6.5535 mW is equal to -40 to +8.16 dBm.)

The absolute accuracy of this value is dependent upon the accuracy of the supplied optical wavelength. For a vendor-specified wavelength, average received power accuracy shall be better than +/-2 dB over specified temperature and voltage. This accuracy shall be maintained for input power levels up to the value specified in the Upper Rated Opt Power field in Table 70h. The accuracy shall be maintained down to the value specified in the Lower Rated Opt Power field in Table 70h. Absolute accuracy beyond the required received input optical power range is vendor specific. The measured values reported by the SFP-RF-USRx module shall maintain +/-1dB relative accuracy over the above received power range and specified temperature and voltage ranges over the life of the product using a fixed test source fiber.

- The following bytes and bits from Table 42 of [XFP MSA] shall be re-purposed for receiver operation, as listed in Table 5:

**Table 5 – General Control/Status Bits**

Byte	Bit	Name	Description
110	7	Rx1 Disable	Range (0 to 1): 0: Rx1 RF On 1: Rx1 RF Off When "Off", Rx1 RF output is minimized to -50 dBc or less relative to nominal operating output power. Turns RF amps off and sets attenuator to maximum. This field is volatile. See Note 1.
110	6	Rx2 Disable	Range (0 to 1): 0: Rx2 RF On 1: Rx2 RF Off When "Off", Rx2 RF output is minimized to -50 dBc or less relative to nominal operating output power. Turns RF amps off and sets attenuator to maximum. This field is volatile. See Note 1.
110	1	Rx1 LOS	Indicates Rx1 optical loss of signal. The amount of time it takes to trigger LOS may be longer for RFoG modules.
111	7	Rx1_NR State	Identifies not ready condition specific to the Rx1 path.

Byte	Bit	Name	Description
111	6	Rx2_LOS	Indicates Rx2 optical loss of signal. The amount of time it takes to trigger LOS may be longer for RFoG modules.
111	5	Rx1_CDR not Locked	Identifies loss of lock in Rx1, if used. See Note 2.
111	4	Rx2_NR State	Identifies not ready condition specific to the Rx2 path.
111	3	Rx2_CDR not locked	Identifies loss of lock in Rx2, if used. See Note 2.

**Note 1:** Disabling a receiver shall not result in the assertion of the MOD\_NR pin.

**Note 2:** In upper memory Table 01h, byte 129, bit 5 indicates whether or not the module uses CDR.

### 7.4.3 Upper Memory Maps

The upper address space tables are used for less frequently accessed functions such as serial ID, user writable EEPROM, reserved EEPROM and diagnostics and control spaces for future standards definition, as well as Manufacturing ID and security code. These are allocated as follows:

- Table 00h: Reserved for future diagnostic and control functions
- Table 01h: SFP-RF-USRx Serial ID Data and Vendor Specific ID Data
- Table 02h: User writable EEPROM (with optional Common Language Equipment Identification (CLEI))
- Table 70h: SFP-RF-USRx Table

#### 7.4.3.1 SFP-RF-USRx Serial ID Data and Vendor Specific ID Data: Table 01h

The serial ID memory map located in Table 01h in the upper address space is used for read-only identification information, except for the last 32 bytes which are vendor EEPROM. The *Identifier* field at byte 128 is a duplicate of the *Identifier* field at byte 0 and should be set to *0Dh* for the newly defined value of SFP-RF-USRx.

As with the lower (common) table, transmitter fields are ignored.

The contents of the vast majority of the fields shall be as indicated in [XFP MSA] with the following exceptions:

- *Identifier* is set to 0Dh (same as in lower table) for SFP-RF-USRx
- *Connector*, specified in Table 48 of [XFP MSA], has the additional available values of:
  - 0Ch = LC 8° APC
  - 0Dh = SC 8° APC
  - 0Eh = PIGTAILED LC 8° APC
  - 0Fh = PIGTAILED SC 8° APC

Note that the values in Table 48 are specific to UPC.

- Bit 3 of byte 220 (Table 01h) shall be set to Average Received Power
- In the *Auxiliary Input Type* (byte 222), nibbles 0111b and 1101b, currently defined as +3.3 V, corresponds to VCC3\_DIGITAL. Table 6 defines the Auxiliary Input Type values used by the SFP-RF-USRx module; this table is based on Table 59 of [XFP MSA].

**Table 6 – Auxiliary Input Types**

<b>Value</b>	<b>Description of physical device</b>
0000b	Auxiliary monitoring not implemented
0001b	Rx1 APD Bias Voltage 16-bit value is Voltage in units of 10 mV
0010b	Reserved
0011b	Reserved
0100b	Reserved
0101b	Rx2 APD Bias Voltage 16-bit value is Voltage in units of 10 mV
0110b	+5V Supply Voltage Encoded as described in section 5.6 of [XFP MSA]
0111b	+VCC3_DIGITAL Supply Voltage Encoded as described in section 5.6 of [XFP MSA]
1000b	Reserved
1001b	Rx1 composite RF output power at the RF detector Signed 16-bit twos complement integer Range of -64.000 to +63.998 dBmV in 1/512 dB steps
1010b	+5V Supply Current 16-bit value is current in 100 μA



Value	Description of physical device
1101b	VCC3_DIGITAL Supply Current 16-bit value is current in 100 μA
1110b	Reserved
1111b	Rx2 composite RF output power at the RF detector Signed 16-bit twos complement integer Range of -64.000 to +63.998 dBmV in 1/512 dB steps

7.4.3.2 User Writable EEPROM Data: Table 02h

Table 02h is provided as user EEPROM, as explained in [XFP MSA]. The host system can read and write this memory for any purpose. If bit 3 of Table 01h, byte 129 is set, however, the first 10 bytes of Table 02h [128 – 137] will be used to store the CLEI code for the module. This does not imply that these 10 bytes are read-only.

7.4.3.3 SFP-RF-USRx Table 70h

The SFP-RF-USRx module shall implement the SFP-RF-USRx Table 70h, described in Table 7 and Table 8. The table number, 70h, is used to avoid clashing with existing XFP-vendor table numbers: existing vendor table numbers tend to be in the range of 03h to 0Fh, but some vendor may have used tables at the end of the spectrum, so this leaves the last 14 tables untouched.

A value that is writable can be read back.

The SFP-RF-USRx module shall treat the R/W values as non-volatile unless otherwise specified in Table 8. These values shall be stored in EEPROM or other NVRAM and saved across a power cycle of the SFP-RF-USRx module. Such values will often have default values for the first time ever used; see the Remarks column of Table 8 for further details. Note that there is no “Reset To Factory Defaults” interface. Once a non-volatile value is changed, it is saved.

Some of the R/W values are volatile and are intended for supporting real-time update of configuration properties; *Rx Attenuator Set Pt* is such a value. These values are volatile to avoid burning out EEPROM with a limited number of write cycles. Given the limited speed of the I<sup>2</sup>C interface, vendors should not expect a rapid update interval.

Bit-fields are avoided for simplicity in implementation. Non-volatile values are grouped for simplicity of

implementation. The addresses are in the range of 128 to 255 (inclusive). Fields are usually one or two bytes (1B or 2B).

Signed values use the standard 2's complement encoding. Signed 1-byte ranges are typically -12.8 to +12.7 with 0.1 unit resolution. This may be greater than reasonable for the field, but it gives the greatest possible range of a signed byte. Offsets used in translating the value are used.

For 2-byte fields, the table offset is even to add to simplicity of implementation.

In Table 7 and Table 8, the following values have a specific meaning in the Default column:

V: Vendor-specified

MAX: Maximum possible setting.

**Table 7 – Table 70h Read Only Values**

<u>Address</u>	<u>Bytes</u>	<u>Name of Field</u>	<u>Remarks</u>	<u>Default</u>
128	1	Rx Options	Bit mapped field describing options in this module: Bit 0: Optical AGC Bit 1: RFoG Bit 2-7: Reserved	V
130	2	Lower Frequency Range	Range (0 - 65535). Units: MHz; resolution of 1 MHz. Lower frequency of the operating frequency range of the receivers.	V
132	2	Upper Frequency Range	Range (0 - 65535). Units: MHz; resolution of 1 MHz. Upper frequency of the operating frequency range of the receivers.	V
134	2	Lower Rated Opt Power	Range (0.0000 to 6.5535). Units: mW. Lower limit for rated received optical power.	V
136	2	Upper Rated Opt Power	Range (0.0000 to 6.5535). Units: mW Upper limit for rated received optical power.	V
138	2	Max Rated Attenuator Setting	Range (0.00 to 63.75). Units: dB; step of size of 0.25 dB. Maximum setting possible for the attenuator. The minimum setting possible is assumed to be 0.00.	V
140	2	Rx1 Attenuator Ref	Range (0.00 to 63.75). Units: dB; step of size of 0.25 dB. Optical module writes the value of the Rx1 attenuator setting captured during AGC Capture Set function. This field is volatile.	MAX

142	2	Rx2 Attenuator Ref	Range (0.00 to 63.75). Units: dB; step of size of 0.25 dB. Optical module writes the value of the Rx2 attenuator setting captured during AGC Capture Set function. This field is volatile.	MAX
144	2	Rx1 Detector Current Ref	Range (0.0 to 6553.5). Units: $\mu$ A Value of the Rx1 photodetector DC current level captured during AGC Capture Set function. This field is volatile.	0.0
146	2	Rx2 Detector Current Ref	Range (0.0 to 6553.5). Units: $\mu$ A Value of the Rx2 photodetector DC current level captured during AGC Capture Set function. This field is volatile.	0.0

**Table 8 – Table 70h Writable Values**

<u>Address</u>	<u>Bytes</u>	<u>Name of Field</u>	<u>Remarks</u>	<u>Default</u>
180	2	Rx1 Attenuator Set Pt	Range (0.00 to 63.75). Units: dB; step of size of 0.25 dB. Host's ability to write to this field is disabled if the Receiver AGC Control is Enabled.	MAX
182	2	Rx2 Attenuator Set Pt	Range (0.00 to 63.75). Units in dB; step of size of 0.25 dB. Host's ability to write to this field is disabled if the Receiver AGC Control is Enabled.	MAX
184	1	Rx1 Wavelength	Range (0 to 255): 27 (1271 nm) 29 (1291 nm) 31 (1311 nm) 33 (1331 nm) 35 (1351 nm) 37 (1371 nm) 39 (1391 nm) 41 (1411 nm) 43 (1431 nm) 45 (1451 nm) 47 (1471 nm) 49 (1491 nm) 51 (1511 nm) 53 (1531 nm) 55 (1551 nm) 57 (1571 nm) 59 (1591 nm) 61 (1611 nm) See Note 1. This field is volatile.	31
185	1	Rx2 Wavelength	Range (0 to 255): 27 (1271 nm) 29 (1291 nm) 31 (1311 nm) 33 (1331 nm) 35 (1351 nm) 37 (1371 nm) 39 (1391 nm) 41 (1411 nm)	31

<u>Address</u>	<u>Bytes</u>	<u>Name of Field</u>	<u>Remarks</u>	<u>Default</u>
			43 (1431 nm) 45 (1451 nm) 47 (1471 nm) 49 (1491 nm) 51 (1511 nm) 53 (1531 nm) 55 (1551 nm) 57 (1571 nm) 59 (1591 nm) 61 (1611 nm) See Note 1. This field is volatile.	
186	1	Rx1 AGC Control	Range (0 to 1): 0: Rx1 AGC Disabled 1: Rx1 AGC Enabled When enabled, the Rx1 portion of the optical module adjusts <i>Rx1 Attenuator Set Pt</i> by comparing detector current to <i>Rx1 Detector Current Ref</i> . When disabled, the host can adjust <i>Rx1 Attenuator Set Pt</i> directly. This field is volatile.	0
187	1	Rx2 AGC Control	Range (0 to 1): 0: Rx2 AGC Disabled 1: Rx2 AGC Enabled When enabled, the Rx1 portion of the optical module adjusts <i>Rx2 Attenuator Set Pt</i> by comparing detector current to <i>Rx2 Detector Current Ref</i> . When disabled, the host can adjust <i>Rx2 Attenuator Set Pt</i> directly. This field is volatile.	0
188	1	Rx1 AGC Capture Action	Range (0 to 2): 0: Idle 1: Capture Start 2: Capture Complete Host writes 1 to signal Rx1 portion of the optical module to capture current IDC and Attenuator baseline setting. Once captured, optical module writes 2 (Capture Complete). Host can choose to write register back to 0 (Idle). This field is volatile.	0
189	1	Rx2 AGC Capture Action	Range (0 to 2): 0: Idle 1: Capture Start 2: Capture Complete Host writes 1 to signal Rx2 portion of the optical module to capture current IDC and Attenuator baseline setting. Once captured, optical module writes 2 (Capture Complete). Host can choose to write register back to 0 (Idle). This field is volatile.	0

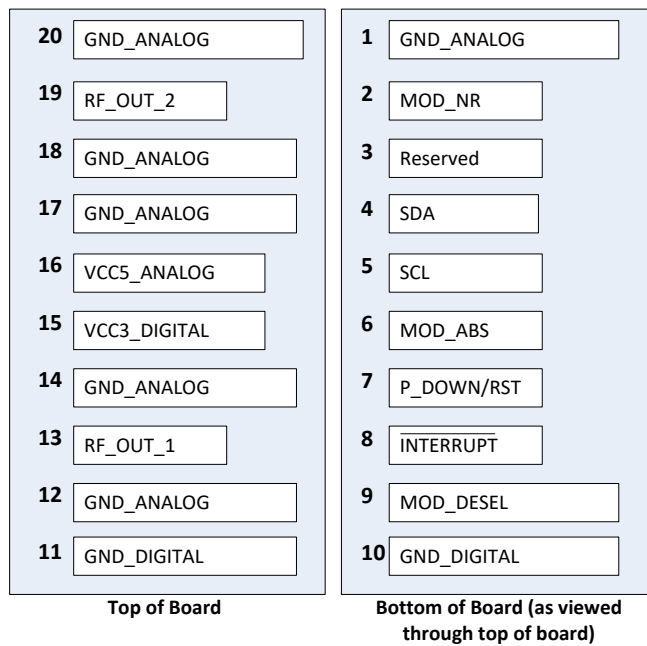
<u>Address</u>	<u>Bytes</u>	<u>Name of Field</u>	<u>Remarks</u>	<u>Default</u>
190	2	Hysteresis	Range (0.00 to 63.75). Units: dB This value sets the threshold that must be crossed before attenuation settings are changed via AGC. This is the difference between the desired optical power and the detected optical power. See section 7.2.3.1 for an explanation of how this value is used.	V

**Note 1:** This value represents the wavelength of the optical input signal into the receiver from the corresponding return-path transmitter. The host module writes this information because the SFP-RF-USRX does not have the capability to detect wavelength. The wavelength is required by SFP-RF-USRX to select the detector responsivity value from an internal lookup table to use for optical input power calculation. The responsivity of the detector changes based on wavelength.

## 8.0 ELECTRICAL INTERFACE

### 8.1 Introduction

The Optical Receiver Module electrical interface is based on the electrical interface defined for the SFP module in [INF-8074]. The electrical interface of the Optical Receiver Module is the shown in Figure 6.



**Figure 6 – SFP-RF-USRx Module Connector Pin Numbers and Names**

## 8.2 Pinout

The SFP-RF-USRx module and host shall conform to the electrical pin definitions specified in Table 9 and the subsections within section **Error! Reference source not found..**

**Table 9 – SFP-RF-USRx Module Electrical Pin Definition**

Pin	Logic	Pin Name	Function	Plug Seq.	Note
1		GND_ANALOG	Module analog ground	1	1
2	LVTTL-O	MOD_NR	Module not ready: Indicates module operational fault	3	2
3		Reserved		3	
4	LVTTL-I/O	SDA	I <sup>2</sup> C serial interface data	3	2
5	LVTTL-I/O	SCL	I <sup>2</sup> C serial interface clock	3	2
6	LVTTL-O	MOD_ABS	Indicates module is not present. Grounded in the module.	3	2
7	LVTTL-I	P_DOWN/RST	Power down; When high, requires the module to limit power consumption to 1.0 W or below. The I <sup>2</sup> C serial interface shall be functional in the low power mode. Reset; The falling edge initiates a complete reset of the module, including the I <sup>2</sup> C serial interface. Equivalent to a power cycle.	3	3
8	LVTTL-O	$\overline{\text{INTERRUPT}}$	Indicates presence of an important condition which can be read over the I <sup>2</sup> C serial interface.	3	2
9	LVTTL-I	MOD_DESEL	Module deselect; when held low, allows the module to respond to the I <sup>2</sup> C serial interface.	1	3
10		GND_DIGITAL	Module digital ground	1	1
11		GND_DIGITAL	Module digital ground	1	1
12		GND_ANALOG	Module analog ground	1	1
13		RF_OUT_1	RF output for receiver 1	3	
14		GND_ANALOG	Module analog ground	1	1

Pin	Logic	Pin Name	Function	Plug Seq.	Note
15		VCC3_DIGITAL	+3.3 V digital power supply	2	
16		VCC5_ANALOG	+5.0 V analog power supply	2	
17		GND_ANALOG	Module analog ground	1	1
18		GND_ANALOG	Module analog ground	1	1
19		RF_OUT_2	RF output for receiver 2	3	
20		GND_ANALOG	Module analog ground	1	1
<p>1. Module ground pins shall be isolated from the module case and chassis ground within the module.</p> <p>2. Shall be pulled up with 4.7 kΩ to 10 kΩ to a voltage between 3.15 V and 3.45 V on the host board.</p> <p>3. Shall be pulled up with 47 kΩ to 100 kΩ to a voltage between 3.15 V and 3.45 V in the module.</p>					

### 8.2.1 Pin Definitions

#### 8.2.1.1 GND\_ANALOG

GND\_ANALOG is an internal ground within the module and is the return for the VCC5\_ANALOG voltage rail. It is isolated from the module case and chassis ground, as well as the GND\_DIGITAL.

#### 8.2.1.2 MOD\_NR

The MOD\_NR is an output pin that when *high*, indicates that the module is not able to communicate with the host; for example, the I<sup>2</sup>C is not functioning.

Other conditions deemed valuable to the detection of fault may be added to the MOD\_NR. The MOD\_NR output pin is an open collector and must be pulled to Host\_Vcc on the host board.

When the receiver module is ready for operation, it shall indicate this by pulling MOD\_NR to *low*. Note that even if the pin is pulled *low*, faults may exist and can be read from the alarm and warning registers.

#### 8.2.1.3 Reserved

The reserved pins were previously assigned by the SFP MSA and are not required here. They are reserved for future use.

#### 8.2.1.4 SCL

The SCL (Serial Clock) pin is bidirectional and is used to positively edge clock data into the module and negative clock data out of each device. The SCL line may be pulled *low* by a module during clock stretching.

**Note:** Host devices should filter this pin to prevent interference between it and the RF output.

#### 8.2.1.5 SDA

The SDA (Serial Data) pin is bi-directional for serial data transfer. This pin is open-drain or open-collector driven and may be wire-ORed with any number of open-drain or open collector devices.

**Note:** Host devices should filter this pin to prevent interference between it and the RF output.

#### 8.2.1.6 MOD\_ABS

MOD\_ABS is pulled up to Host\_Vcc on the host board and grounded in the module to indicate that the module is present. MOD\_ABS goes *high* when the module is physically absent from a host slot.

#### 8.2.1.7 P\_DOWN/RST

This is a multifunction pin for module Power Down and Reset. The P\_DOWN/RST pin shall be pulled up to +3.3 V in the module.

#### 8.2.1.8 $\overline{\text{INTERRUPT}}$

$\overline{\text{INTERRUPT}}$  is an output pin. When *low*, indicates possible module operational fault or a status critical to the host system. The  $\overline{\text{INTERRUPT}}$  pin is an open collector output and must be pulled up to Host\_Vcc on the host board.

#### 8.2.1.9 MOD\_DESEL

The MOD\_DESEL is an input pin. When pulled *low* by the host, the module responds to I<sup>2</sup>C serial communication commands. The MOD\_DESEL pin allows the use of multiple modules on a single I<sup>2</sup>C interface bus. When the MOD\_DESEL pin is *high*, the module shall not respond to



or acknowledge any I<sup>2</sup>C interface communication from the host. The MOD\_DESEL pin shall be pulled to 3.3 V in the module.

In order to avoid conflicts, the host system shall not attempt I<sup>2</sup>C communications within the MOD\_DESEL Host\_select\_setup time after any modules are deselected. Similarly, the host shall wait at least for the period of the MOD\_DESEL Host\_select\_setup time before communicating with the newly selected module. The assertion and de-assertion periods of different modules may overlap as long as the above timing requirements are met.

#### 8.2.1.10 GND\_DIGITAL

GND\_DIGITAL is an internal ground within the module and is the return for the VCC3\_DIGITAL pin. It is isolated from the module case, chassis ground, and GND\_ANALOG.

#### 8.2.1.11 RF\_OUT\_1

The RF\_OUT\_1 pin is a nominal 75-Ohm, single-ended RF output pin for receiver 1 in the module.

#### 8.2.1.12 VCC3\_DIGITAL

VCC3\_DIGITAL is +3.3 VDC pin. See section **Error! Reference source not found., Error! Reference source not found.** for input requirements and pin usage.

#### 8.2.1.13 VCC5\_ANALOG

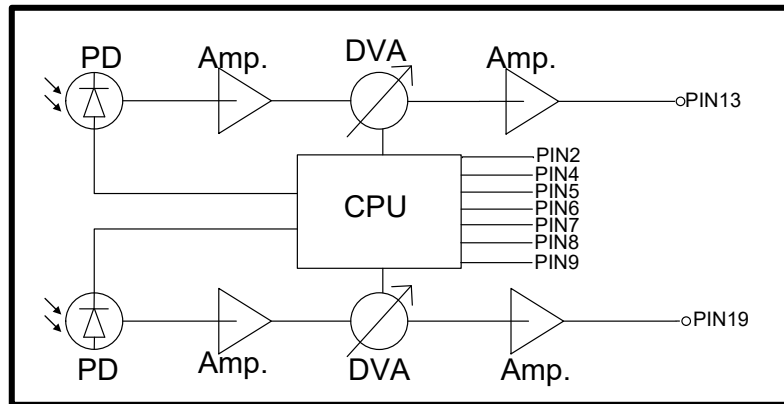
VCC5\_ANALOG is a +5.0 VDC pin. See section **Error! Reference source not found., Error! Reference source not found.** for input requirements and pin usage.

#### 8.2.1.14 RF\_OUT\_2

The RF\_OUT\_2 pin is a nominal 75-Ohm, single-ended RF output pin for receiver 2 in the module.

### 8.3 Fixed RF Output

The following figure presents a high-level example of the SFP-RF-USRx module wiring and inputs/outputs. Note that not all pins are represented.



**Figure 7 – Example SFP-RF-USRx Module Block Diagram**

### 8.3.1 Pass Band

The receive band shall be from 5 MHz to 85 MHz.

Higher bandwidths are expected to be supported in next generation products (e.g. 5 – 200 MHz).

### 8.3.2 RF Power Levels

A small form-factor device such as this SFP-RF-USRx will have limited amount of gain. The maximum amount of RF at the electrical interface of a purely analog type receiver will be governed and limited by the following variables:

- OMI of the transmitting laser
- Input optical power
- Responsivity of the photo diode
- Internal amplifier gain

With current technology, it is assumed that 28.5 dBmV composite RF output can be obtained with a bandwidth of 80 MHz, optical input of -17 dBm, and an OMI of 25%.

Here is an example calculation showing the expected RF output of an ideal photodiode.

The PD will produce an RF current output equivalent to the optical power (in mW) times the responsivity ( $R$ ) of the photodiode measured in mA/mW. The RF current will be further reduced by the OMI ( $m\%$ )<sup>1</sup> of the transmitting laser measured in

<sup>1</sup> OMI used in this formula is also known as peak OMI.

percent. All these terms multiplied by the nominal load impedance ( $Z$ ) and finally divided by  $\sqrt{2}$  to get the rms value will produce the voltage across the load in mV. This voltage can then be converted to dBmV as shown below.

$$\text{Photodiode\_RF\_Out(dBmV)} = 20 \cdot \log_{10} \left( \frac{10^{P_{dBm}/10} \cdot m_{\%} \cdot R \cdot Z}{\sqrt{2}} \right)$$

Example:

$$P_{dBm} = -17 \text{ dBm}, \quad m_{\%} = 25\%, \quad R = 0.95 \frac{\text{mA}}{\text{mW}}, \quad Z = 75 \Omega$$

$$20 \cdot \log_{10} \left( \frac{10^{-17/10} \cdot 0.25 \cdot 0.95 \cdot 75}{\sqrt{2}} \right)$$

$$\approx -12 \text{ dBmV}$$

The above power level is the composite power level across an assumed bandwidth of 80 MHz out of the photodiode.

*Converted to dBmV/Hz, the signal level  $\approx -91$  dBmV/Hz.*

*Converted to a 6.4 MHz bandwidth, the signal level out of the photodiode is expected to be  $\approx -23$  dBmV.*

You can see from the above calculations that a net internal gain of  $28.5 - (-12) = 40.5$  dB still needs to be applied in the SFP-RF-USRx module. This net gain does not include the insertion loss of digital step attenuators (DSA) Z matching, etc.

Also, keep in mind that this module needs to support dual channels and will be space and power limited.

The optical receiver module shall provide a minimum composite output power of 28.5 dBmV within the module's specified optical input power range and a composite OMI of 25%.

Different types of modules could support different input optical power ranges. It is expected that optical input power will range from -17 dBm to 0 dBm. For RFoG modules, the optical input power could be less than -17 dBm.

The module shall support a frequency response flatness of not worse than +/- 1 dB across the entire pass band.

Table 10 shows examples of how this composite power may be reached with different input optical powers and OMIs.

**Table 10 – Optical Power Ranges, Based on Optical Input Power**

Optical power (dBm)	Composite OMI (pk)	PD R (A/W)	Total BW (MHz)	PD Comp. Power (dBmV)	PD Power (dBmV/Hz)	PD Power per 6.4MHz Ch (dBmV)	Net Gain after PD (dB)	Module Comp. Output Power (dBmV)
-17	25%	95%	80	-12.00	-91.03	-22.96	40.50	28.50
-10	25%	95%	80	2.00	-77.03	-8.96	26.50	28.50
0	25%	95%	80	22.00	-57.03	11.04	6.50	28.50
-17	20%	95%	80	-13.93	-92.96	-24.90	42.43	28.50
-10	20%	95%	80	0.07	-78.96	-10.90	28.43	28.50
0	20%	95%	80	20.07	-58.96	9.10	8.43	28.50

See **Error! Reference source not found.** Potential RF Output Loss Use Case for an analysis of the output power required to meet an implementation of the SFP-RF-USRx module in cable headend equipment.

### 8.3.3 Interface Impedance

The SFP-RF-USRx module supports single-ended RF output. The host and module shall have a nominal 75  $\Omega$  single-ended impedance.

### 8.3.4 Output Return Loss

The module shall have an output return loss of  $\geq 16$  dB over the full frequency range of the module.

### 8.3.5 Attenuation

The module shall allow the host to manually set the amount of attenuation applied. The module shall support a nominal attenuation step size of  $\leq 0.5$  dB.

## 8.4 DC Power Requirements

The host has two power supplies: +5.0 V ANALOG and +3.3V DIGITAL. Each pin is physically limited to 0.5A.

The host shall provide power characterized per Table 4 of [XFP MSA], with the following exceptions:

- VCC2 (the 1.8 V pin) is not implemented.

- VCC3 applies to the VCC3\_DIGITAL pin.
- The Maximum Current Inrush shall be limited to 0.5 A for each VCC5\_ANALOG and VCC3\_DIGITAL pin.
- Where specified differently in Table 11.

**Table 11 – Power per Rail**

Rail	Voltage	Max Current Required	Resulting Power per Rail	Ripple < 100 kHz (mV peak-to-peak)	Ripple > 100 kHz (mV peak-to-peak)	Pin
VCC3_DIGITAL	+3.3 V	0.45 A <sup>1</sup>	1.5 W	50	100	15
VCC5_ANALOG	+5.0 V	0.40 A	2.0 W	10	30	16
<sup>1</sup> 0.5A maximum per pin						

SFP-RF-USRx module maximum power consumption shall meet one of the following power classes:

- Power Level I modules:  $\leq 1.0$  W
- Power Level II modules:  $\leq 1.5$  W
- Power Level III modules:  $> 1.5$  W

#### 8.4.1 Power Noise Output

To limit wide band noise power, the host system and module shall each meet a maximum peak-peak noise, as specified in Table 11 – Power per Rail.

The SFP-RF-USRx receiver port on a host board is tested with a resistive load in place of the SFP-RF-USRx module, each voltage rail at maximum current supported by the host. Voltage is measured at the module side of the SFP-RF-USRx receiver connector. The test is performed with all other portions of the host board/system active. Hosts with multiple SFP-RF-USRx modules will test ports one at a time, with active SFP-RF-USRx receiver modules in all the remaining ports.

The SFP-RF-USRx module is tested with a high-quality power supply connected through the sample filter. Voltage is measured at the host side of the SFP-RF-USRx receiver connector, between the sample host filter network and the SFP-RF-USRx module. The SFP-RF-USRx module shall pass this test in all operating modes. This test ensures the module will not couple excessive noise from inside the module back onto the host board.

8.4.2 SFP-RF-USRx Module Power Noise Susceptibility

An SFP-RF-USRx module shall meet all electrical requirements and remain fully operational in the presence of noise on all voltage inputs. The recommended susceptibility test is to sweep a sinusoidal waveform on each voltage input, with peak amplitude as described in Table 11. It is also desirable for a module and host to each tolerate a degree of random or semi-random noise on all voltage pins simultaneously, but the characteristics of this noise are beyond the scope of this document.

8.5 Low Speed Electrical Specifications

The following section is based on section 2.5 of [XFP MSA] and is presented here with modifications.

Low speed signaling is based on Low Voltage TTL (LVTTTL) operating at VCC3\_DIGITAL at a nominal supply of (3.3 V ±5%). Hosts shall use a pull-up resistor connected to a host\_Vcc of +3.3 V (3.15 V to 3.45 V) on the I<sup>2</sup>C interface SCL (clock), SDA (Data), and all low speed status outputs.

The SFP-RF-USRx module low speed electrical specifications shall conform to those listed in Table 12. This specification ensures compatibility between host bus masters and SFP-RF-USRx SCL/SDA lines and compatibility with I<sup>2</sup>C.

**Table 12 – Low Speed Control and Sense Signals, Electronic Characteristics**

Parameter	Symbol	Min.	Max.	Unit	Conditions
<u>INTERRUPT</u> , MOD_NR	VOL	0.0	0.4	V	Rpullup pulled to host_Vcc, measured at host side of connector. IOL(max) = 3 mA
	VOH	host_Vcc -0.5	host_Vcc +0.3	V	Rpullup pulled to host_Vcc, measured at host side of connector.
MOD_DESEL, P_DOWN/RST	VIL	-0.3	0.8	V	Rpullup pulled to VCC3_DIGITAL, measured at SFP-RF-USRx side of connector. IIL(max) = -10 μA
	VIH	2.0	VCC3_DIGITAL + 0.3	V	Rpullup pulled to VCC3_DIGITAL, measured at SFP-RF-USRx side of connector. IIH(max) = 10 μA
SCL and SDA	VOL	0.0	0.4	V	Rpullup <sup>1</sup> pulled to host_Vcc, measured at host side of connector. IOL(max) = 3 mA
	VOH	host_Vcc - 0.5	host_Vcc + 0.3	V	Rpullup <sup>1</sup> pulled to host_Vcc, measured at host side of connector.

Parameter	Symbol	Min.	Max.	Unit	Conditions
SCL and SDA	VIL	-0.3	VCC3_DIGITAL *0.3	V	Rpullup <sup>1</sup> pulled to Host_VCC, measured at SFP-RF-USRx side of connector. IIL(max) = -10 μA
	VIH	VCC3_DIGITAL *0.7	VCC3_DIGITAL + 0.5	V	Rpullup <sup>1</sup> pulled to Host_VCC, measured at SFP-RF-USRx side of connector. IIH(max) = 10 μA
Leakage Current	Il	-10	10	μA	
Capacitance for SCL and SDA I/O Pin	Ci		14	pF	10 pF for SFP-RF-USRx IC I/O pin, 4 pF for SFP-RF-USRx PCB trace
Total bus capacitive load for SCL and for SDA	Cb		100	pF	At 400 kHz, 3.0 kΩ Rp, max
			400	pF	At 100 kHz, 8.0 kΩ Rp, max
1. For combinations of Rpullup (Rp), bus capacitance and speed, see Figures 39 and 44 of [UM10204]. Rise and fall time measurement levels are defined in the XFP management interface ac electrical specifications. Active bus termination may be used by the host in place of a pullup resistor, as described in [UM10204].					

### 8.6 Timing Requirements of Control and Status I/O

The SFP-RF-USRx module shall conform to the timing requirements of control and status I/O defined in Table 13.

**Table 13 – Timing Parameters for SFP-RF-USRx Module Management**

Parameter	Symbol	Min	Max	Unit	Conditions
Time to initialize	t_init		300	ms	From power on or hot plug after supply meeting Table 4 of version 4.5 of [XFP MSA] or from falling edge of P_Down/RST.
$\overline{\text{INTERRUPT}}$ assert delay	$\overline{\text{Interrupt\_on}}$		200	ms	From occurrence of the condition triggering $\overline{\text{INTERRUPT}}$
$\overline{\text{INTERRUPT}}$ negate delay	$\overline{\text{Interrupt\_off}}$		500	μs	From clear on read $\overline{\text{INTERRUPT}}$ flags
P_DOWN/RST assert delay	P_Down/RST_on		100	μs	From Power down initiation
MOD_NR assert delay	Mod_nr_on		1	ms	From Occurrence of fault to assertion of MOD_NR
MOD_NR negate delay	Mod_nr_off		1	ms	From clearance of signal to negation of MOD_NR
P_DOWN/RST time		10		μs	Min length of power down assert to initiate reset

The I<sup>2</sup>C serial bus timing is described in Chapter 4 of [XFP MSA].

## 9.0 MECHANICAL AND BOARD DEFINITION

### 9.1 Introduction

The mechanical components defined in this section are based on the Improved Pluggable Formfactor (IPF) of [SFF-8432], commonly

known as SFP+. The module and connector dimensions are constant for all applications, while the bezel, cage assembly, and EMI gasket can be designed and/or adjusted for the individual application.

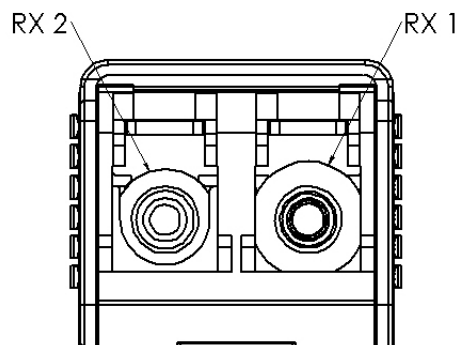
## 9.2 SFP-RF-USRx Module Mechanical and Labeling Requirements

The SFP-RF-USRx module shall comply with the mechanical and labeling requirements of section 4 of [SFF-8432]. This includes the package dimensions, latch post, retention and extraction features, and durability.

Although pinout differences exist between the MSA SFP/SFP+ and SFP-RF-USRx modules, no mechanical keying is being provided to prevent a module to be inserted into an incompatible host. Permanent damage might occur to either or both the module and host if an MSA compliant digital SFP or SFP+ module is plugged into an SFP-RF-USRx host socket or if an SFP-RF-USRx module is plugged into an MSA compliant SFP or SFP+ host socket.

The SFP-RF-USRx module package shall consist of dual optical receiver ports.

When looking at the SFP-RF-USRx module face (optical connector side), the port on the right shall be Rx1 and the port on the left shall be Rx2, as shown in **Error! Reference source not found..**



**Figure 8 – Rx1 and Rx2 Port Layout**

## 9.3 Mating of SFP-RF-USRx Module PCB to the Host Electrical Connector

The SFP-RF-USRx module PCB electrical connector shall comply with section A2 and Figure 2 of [INF-8074], with the following exceptions:

- Pin 12 (GND\_ANALOG) shall have a first-mating plug sequence.



- Pin 18 (GND\_ANALOG) shall have a first-mating plug sequence.

#### 9.4 Host Board Layout

The SFP-RF-USRx module host board layout shall comply with section A2 and Figures 4A and 4B of [INF-8074]. [SFF-8433] shall be used for ganged cages.

#### 9.5 Insertion, Extraction and Retention Forces for SFP-RF-USRx Modules

The SFP-RF-USRx module insertion, extraction, and retention forces shall comply with section 4.2 and Table 4-1 of [SFF-8432].

#### 9.6 Color Coding of SFP-RF-USRx Modules

The SFP-RF-USRx module shall be color coded on the latch, bale, or similar locking mechanism to identify it as a receiver module, as follows:

- Pink for analog receiver
- Pink with black stripes for digital receiver

The color coding for the finish type of the SFP-RF-USRx module shall be color coded on the front face as follows:

- Blue for UPC
- Green for APC

#### 9.7 EMI Design Using SFP-RF-USRx Modules

The host bezel and EMI gasket for the SFP-RF-USRx module shall be consistent with the recommendations in section A6 and Figure 5 of [INF-8074].

#### 9.8 SFP-RF-USRx Module Connector Specifications

The host SFP-RF-USRx module connector shall comply with the specifications for the 20-contact connector version of [SFF-8083].

#### 9.9 SFP-RF-USRx Module Cage Assembly Dimensions

The SFP-RF-USRx module shall be compatible with the host cage specified in Figures 7A and 7B and Table 4 in section A8 of [INF-8074], except when superseded by section 5 and Figure 5-1 in [SFF-8432].

#### 9.10 SFP-RF-USRx Module Cooling

This specification does not define the cooling mechanism of the SFP-RF-USRx module. The methods and dimensions of those mechanisms are vendor specific.

#### 9.11 Environmental and Thermal

This specification does not define the operational temperature range of the SFP-RF-USRx module, but it is expected to operate at the manufacturer's specified operational levels from 0 °C to 85 °C case temperature.

The SFP-RF-USRx module shall operate at the manufacturer's specified operational levels from 5% to 95% non-condensing relative humidity throughout an altitude range of -200 to 10,000 feet above mean sea level.

#### 9.12 Dust Cover

The host board and cage for the SFP-RF-USRx module shall be compatible with the dust cover defined in section A9 of [INF-8074].

#### 9.13 SFP-RF-USRx Module Fiber-Optic End-Face Finish and Connector Geometry

While both UPC and APC fiber-optic end-face finishes are acceptable for this module, the SFP-RF-USRx module end-face finish should be APC. The APC finish shall meet the requirement specified in [FINISH].

The APC geometry shall meet the APC requirements specified in [IEC 61755-3-2].

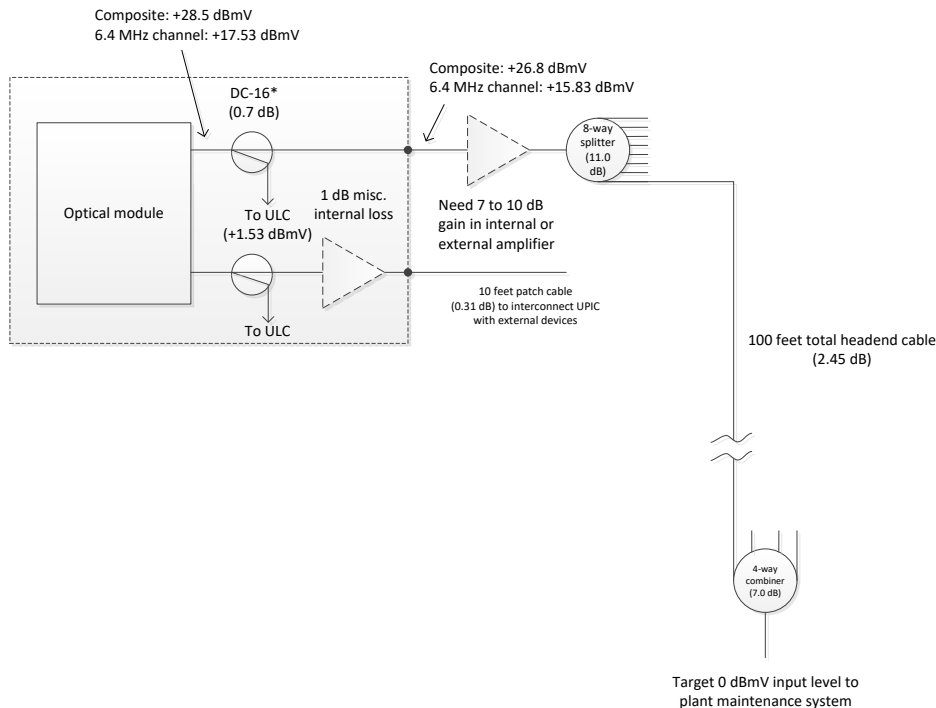
The SFP-RF-USRx module ferrule finish may be UPC. The UPC finish shall meet the requirements specified in [FINISH].

The UPC geometry shall meet the UPC requirements specified in [IEC 61755-3-1].

### **APPENDIX A: POTENTIAL RF OUTPUT LOSS USE CASE**

When an SFP-RF-USRx module is integrated into headend equipment such as a CCAP or CMTS, care will need to be taken to ensure that sufficient RF power is available for all systems that use the upstream signals. In the cable headend, upstream signals are used by multiple systems: DOCSIS signals are used by the CMTS/CCAP upstream line card, sweep and maintenance signals are used by plant monitoring systems, etc. When integrated into a CCAP/CMTS, directional couplers (DC) and splitters will be necessary to

feed these systems. Figure 9 illustrates a potential use case and the losses accumulated due to signal splitting and delivery.



**Figure 9 – Example Output Losses**

In this example, a minimum per-channel RF power of 0 dBmV is required to feed a third-party plant maintenance system. The SFP-RF-USRx module is capable of providing +28.5 dBmV composite RF power, or +17.53 dBmV per 6.4 MHz channel. A DC-16 delivers RF to the upstream line card (ULC) and also outside of the chassis via an external RF port. Losses associated with the DC and internal CCAP circuitry are 1.7 dB. From the RF port, 10 feet of patch cable connects the CCAP to an external splitter, introducing 0.31 dB of loss. Another 11 dB are lost at the eight-way splitter. Headend cable from the splitter, 100 feet in length, reduces signal strength by an additional 2.45 dB. The signal carried on the cable from the splitter then feeds a four-way combiner near the end of the path, introducing another 7 dB of loss.

Total losses in this scenario are 22.46 dB; in order to provide 0 dBmV input at the end of the line, amplifiers external to the SFP-RF-USRx module will be required. In this example, an amplifier providing 7 to 10 dB gain (either internal to the CCAP or external) is required. Altering the splitting/combining architecture can help reduce the need for additional amplification.

**APPENDIX B: ACKNOWLEDGEMENTS**

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